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**SOLICITOR**

**U.S. District Court**  
**Northern District of Ohio (Cleveland)**  
**CIVIL DOCKET FOR CASE #: 1:07-cv-01706-SO**  
**Internal Use Only**

AUG 22 2007

U.S. PATENT &amp; TRADEMARK OFFICE

Ronald A. Katz Technology Licensing, L.P. v. Cincinnati Bell, Inc. et al

Assigned to: Hon. Solomon Oliver, Jr  
Cause: 35:271 Patent Infringement

Date Filed: 06/08/2007  
Date Terminated: 07/31/2007

Jury Demand: Both  
Nature of Suit: 830 Patent

Jurisdiction: Federal Question

**Plaintiff**

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represented by **Robert J. Hanna**

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*Pat. # 6,157,711*

*Total 19 patents (pgs. 5-10)*

**4,930,150**

**4,939,773**

**4,987,590**

**5,048,075**

**5,109,404**

**5,128,984**

**5,251,252**

**5,255,309**

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**Defendant**

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represented by **F. Michael Speed, Jr.**

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provide services in the credit and check verification field established by Mr. Katz and Telecredit.

15. Mr. Katz's inventions have not been limited to telephonic check verification. Indeed, Mr. Katz is responsible for advancements in many fields of technology. Among his most prominent and well-known innovations are those in the field of interactive call processing. Mr. Katz's inventions in that field are directed to the integration of telephonic systems with computer databases and live operator call centers to provide interactive call processing services, including automated multiple party telephone conferencing services.

16. The first of Mr. Katz's interactive call processing patents issued on December 20, 1988. More than fifty U.S. patents have issued to Mr. Katz for his inventions in the interactive call processing field, including each of the patents-in-suit.

17. In 1988, Mr. Katz partnered with American Express to establish FDR Interactive Technologies, later renamed Call Interactive, to provide interactive call processing services based on Mr. Katz's inventions. The American Express business unit involved in this joint venture later became known as First Data.

18. Early clients of Call Interactive included *The New York Times*, ABC's *Monday Night Football*, KABC Radio, CBS News, and Beatrice Foods (Hunt-Wesson division).

19. Many of these clients utilized Call Interactive technology for high-profile events. For example, CBS News hired Call Interactive to operate an interactive, real-time telephone poll to gauge viewer reaction to President George H.W. Bush's 1992 State of the Union address.

20. Mr. Katz sold his interest in Call Interactive to American Express in 1989 but continued to provide advisory services to Call Interactive until 1992. American Express later spun off the First Data business unit into a separate corporation, and with that new entity went Mr. Katz's interactive call processing patents and the Call Interactive call processing business.

The former Call Interactive, now known as First Data Voice Services, continues to provide call processing solutions today.

21. In 1994, Mr. Katz formed Katz Technology Licensing, which acquired the rights to the entire interactive call processing patent portfolio, including the rights to each of the patents-in-suit (with the exception of U.S. Patent No. 6,157,711, which issued on December 5, 2000, with Katz Technology Licensing as assignee), from First Data, the owner of all of the Katz interactive call processing patents at that time.

22. The marketplace has clearly recognized the value of Mr. Katz's inventions. Indeed, over 150 companies have licensed the patents-in-suit. Licensees include IBM, Hewlett-Packard, Bank of America, JPMorgan Chase, Wells Fargo, HSBC, Verizon, Sprint, Microsoft, Delta Airlines, Merck, Sears, and Home Shopping Network. These licenses and others acknowledge the applicability of the patents-in-suit to multiple fields of use, including but not limited to financial services call processing, automated securities transactions, automated credit card authorization services, automated wireless telecommunication services and support, automated health care services, and product and service support.

23. Each of the defendants employs the inventions of certain of the patents-in-suit. Katz Technology Licensing, through its licensing arm A2D, L.P., has attempted to engage the defendants in licensing negotiations, but to date, none of the defendants have agreed to take a license to any of the patents-in-suit.

#### THE PATENTS-IN-SUIT

24. On May 29, 1990, the United States Patent and Trademark Office duly and legally issued United States Patent No. 4,930,150 ("the '150 Patent"), entitled "Telephonic Interface Control System," to Ronald A. Katz, sole inventor. The '150 Patent expired on December 20,

2005.

25. On July 3, 1990, the United States Patent and Trademark Office duly and legally issued United States Patent No. 4,939,773 ("the '773 Patent"), entitled "Multiple Party Telephone Control System," to Ronald A. Katz, sole inventor.

26. On January 22, 1991, the United States Patent and Trademark Office duly and legally issued United States Patent No. 4,987,590 ("the '590 Patent"), entitled "Multiple Party Telephone Control System," to Ronald A. Katz, sole inventor.

27. On September 10, 1991, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,048,075 ("the '075 Patent"), entitled "Telephonic-Interface Statistical Analysis System," to Ronald A. Katz, sole inventor. The '075 Patent expired on December 20, 2005.

28. On April 28, 1992, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,109,404 ("the '404 Patent"), entitled "Telephone Call Processor With Select Call Routing," to Ronald A. Katz and Thomas D. Thompson, co-inventors. The '404 Patent expired on December 20, 2005.

29. On July 7, 1992, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,128,984 ("the '984 Patent"), entitled "Telephone Interface Call Processing System With Call Selectivity," to Ronald A. Katz, sole inventor.

30. On October 5, 1993, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,251,252 ("the '252 Patent"), entitled "Telephone Interface Call Processing System With Call Selectivity," to Ronald A. Katz, sole inventor.

31. On October 19, 1993, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,255,309 ("the '309 Patent"), entitled "Telephonic-

Interface Statistical Analysis System,” to Ronald A. Katz, sole inventor. The ‘309 Patent expired on December 20, 2005.

32. On March 22, 1994, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,297,197 (“the ‘197 Patent”), entitled “Multiple Party Telephone Control System,” to Ronald A. Katz, sole inventor.

33. On September 27, 1994, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,351,285 (“the ‘285 Patent”), entitled “Multiple Format Telephonic Interface Control System,” to Ronald A. Katz, sole inventor. The ‘285 Patent expired on December 20, 2005.

34. On August 15, 1995, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,442,688 (“the ‘688 Patent”), entitled “Multiple Party Telephone Control System,” to Ronald A. Katz, sole inventor.

35. On October 1, 1996, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,561,707 (“the ‘707 Patent”), entitled “Telephonic-Interface Statistical Analysis System,” to Ronald A. Katz, sole inventor. The ‘707 Patent expired on December 20, 2005.

36. On November 4, 1997, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,684,863 (“the ‘863 Patent”), entitled “Telephonic-Interface Statistical Analysis System,” to Ronald A. Katz, sole inventor. The ‘863 Patent expired on December 20, 2005.

37. On July 28, 1998, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,787,156 (“the ‘156 Patent”) entitled “Telephonic-Interface Lottery System,” to Ronald A. Katz, sole inventor. The ‘156 Patent expired on December 20,

2005.

38. On September 29, 1998, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,815,551 ("the '551 Patent"), entitled "Telephonic-Interface Statistical Analysis System," to Ronald A. Katz, sole inventor. The '551 Patent expired on December 20, 2005.

39. On October 27, 1998, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,828,734 ("the '734 Patent"), entitled "Telephone Interface Call Processing System With Call Selectivity," to Ronald A. Katz, sole inventor.

40. On November 10, 1998, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,835,576 ("the '576 Patent") entitled "Telephonic-Interface Lottery System," to Ronald A. Katz, sole inventor. The '576 Patent expired on July 10, 2005.

41. On April 27, 1999, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,898,762 ("the '762 Patent"), entitled "Telephonic-Interface Statistical Analysis System," to Ronald A. Katz, sole inventor. The '762 Patent expired on December 20, 2005.

42. On June 29, 1999, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,917,893 ("the '893 Patent"), entitled "Multiple Format Telephonic Interface Control System," to Ronald A. Katz, sole inventor. The '893 Patent expired on December 20, 2005.

43. On October 26, 1999, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,974,120 ("the '120 Patent"), entitled "Telephone Interface Call Processing System With Call Selectivity," to Ronald A. Katz, sole inventor.

44. On March 7, 2000, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,035,021 ("the '021 Patent"), entitled "Telephonic-Interface Statistical Analysis System," to Ronald A. Katz, sole inventor. The '021 Patent expired on December 20, 2005.

45. On March 28, 2000, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,044,135 ("the '135 Patent"), entitled "Telephone-Interface Lottery System," to Ronald A. Katz, sole inventor. The '135 Patent expired on July 10, 2005.

46. On November 14, 2000, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,148,065 ("the '065 Patent"), entitled "Telephonic-Interface Statistical Analysis System," to Ronald A. Katz, sole inventor. The '065 Patent expired on July 10, 2005.

47. On December 5, 2000, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,157,711 ("the '711 Patent"), entitled "Multiple Party Telephone Control System," to Ronald A. Katz, sole inventor.

48. On January 1, 2002, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,335,965 ("the '965 Patent"), entitled "Voice-Data Telephonic Interface Control System," to Ronald A. Katz, sole inventor. The '965 Patent expired on December 20, 2005.

49. On February 19, 2002, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,349,134 ("the '134 Patent"), entitled "Telephonic-Interface Statistical Analysis System," to Ronald A. Katz, sole inventor. The '134 Patent expired on December 20, 2005.

50. On August 13, 2002, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,434,223 ("the '223 Patent"), entitled "Telephone Interface Call Processing System With Call Selectivity," to Ronald A. Katz, sole inventor. The '223 Patent expired on July 10, 2005.

51. On May 27, 2003, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,570,967 ("the '967 Patent"), entitled "Voice-Data Telephonic Interface Control System," to Ronald A. Katz, sole inventor. The '967 Patent expired on July 10, 2005.

52. On January 13, 2004, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,678,360 ("the '360 Patent"), entitled "Telephonic-Interface Statistical Analysis System," to Ronald A. Katz, sole inventor. The '360 Patent expired on July 10, 2005.

**COUNT I**

**(PATENT INFRINGEMENT BY THE CINCINNATI BELL DEFENDANTS)**

53. Katz Technology Licensing realleges and incorporates by reference paragraphs 1-52 of this Complaint as if fully set forth herein.

54. Katz Technology Licensing is the sole holder of the entire right, title, and interest in the '773, '590, '075, '404, '984, '252, '309, '197, '688, '707, '863, '156, '551, '734, '762, '120, '021, '711, and '965 Patents.

55. Upon information and belief, the Cincinnati Bell defendants operate automated telephone systems, including without limitation customer service systems, voicemail systems, and teleconferencing systems, that allow their customers to perform various functions and obtain various services over the telephone, including without limitation completing account payments,

obtaining account information, using voicemail services, and participating in teleconference calls.

56. The Cincinnati Bell defendants have directly and contributorily infringed, and induced others to infringe, one or more claims of each of the patents identified in paragraph 54 of this Complaint by making, using, offering to sell, and/or selling within the United States automated telephone systems, including without limitation customer service systems, voicemail systems, and teleconferencing systems, that allow their customers to perform various functions and obtain various services over the telephone, including without limitation completing account payments, obtaining account information, using voicemail services, and participating in teleconference calls.

57. The Cincinnati Bell defendants continue to infringe, contributorily infringe, and induce others to infringe the '773, '590, '984, '252, '197, '688, '734, '120, and '711 Patents.

58. The Cincinnati Bell defendants' infringement of the patents identified in paragraph 54 of this Complaint has been willful.

59. Katz Technology Licensing has been, and continues to be, damaged and irreparably harmed by the Cincinnati Bell defendants' infringement, which will continue unless the Cincinnati Bell defendants are enjoined by this Court.

## COUNT II

### (PATENT INFRINGEMENT BY THE FIRSTMERIT DEFENDANTS)

60. Katz Technology Licensing realleges and incorporates by reference paragraphs 1-52 as if fully set forth herein.

61. Katz Technology Licensing is the sole holder of the entire right, title, and interest in the '150, '075, '984, '252, '285, '707, '863, '156, '551, '734, '576, '893, '120, '021, '135,

'065, '965, '134, '223, '967, and '360 Patents.

62. Upon information and belief, the FirstMerit defendants operate automated telephone systems, including without limitation customer service systems that allow their customers to perform various functions and obtain various services over the telephone, including without limitation obtaining account information, activating account cards, and changing PINs.

63. The FirstMerit defendants have directly and contributorily infringed, and induced others to infringe, one or more claims of each of the patents identified in paragraph 61 of this Complaint by making, using, offering to sell, and/or selling within the United States automated telephone systems, including without limitation customer service systems that allow their customers to perform various functions and obtain various services over the telephone, including without limitation obtaining account information, activating account cards, and changing PINs.

64. The FirstMerit defendants continue to infringe, contributorily infringe, and induce others to infringe the '984, '252, '734, and '120 Patents.

65. The FirstMerit defendants' infringement of the patents identified in paragraph 61 of this Complaint has been willful.

66. Katz Technology Licensing has been, and continues to be, damaged and irreparably harmed by the FirstMerit defendants' infringement, which will continue unless the FirstMerit defendants are enjoined by this Court.

**REQUEST FOR RELIEF**

WHEREFORE, Plaintiff Katz Technology Licensing respectfully requests the following relief:

A. A judgment holding the Cincinnati Bell defendants liable for infringement of the patents identified in paragraph 54 of this Complaint;

B. A permanent injunction against the Cincinnati Bell defendants, their officers, agents, servants, employees, attorneys, parent and subsidiary corporations, assigns and successors in interest, and those persons in active concert or participation with them, enjoining them from continued acts of infringement of the '773, '590, '984, '252, '197, '688, '734, '120, and '711 Patents;

C. An accounting for damages resulting from the Cincinnati Bell defendants' infringement of the patents identified in paragraph 54 of this Complaint, together with pre-judgment and post-judgment interest;

D. A judgment holding that the Cincinnati Bell defendants' infringement of the patents identified in paragraph 54 of this Complaint is willful, and a trebling of damages pursuant to 35 U.S.C. § 284;

E. A judgment holding the FirstMerit defendants liable for infringement of the patents identified in paragraph 61 of this Complaint;

F. A permanent injunction against the FirstMerit defendants, their officers, agents, servants, employees, attorneys, parent and subsidiary corporations, assigns and successors in interest, and those persons in active concert or participation with them, enjoining them from continued acts of infringement of the '984, '252, '734, and '120 Patents;

G. An accounting for damages resulting from the FirstMerit defendants' infringement of the patents identified in paragraph 61 of this Complaint, together with pre-judgment and post-judgment interest;

H. A judgment holding that the FirstMerit defendants' infringement of the patents identified in paragraph 61 of this Complaint is willful, and a trebling of damages pursuant to 35 U.S.C. § 284;

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- I. A judgment holding this Action an exceptional case, and an award to Plaintiff Katz Technology Licensing for its attorneys' fees and costs pursuant to 35 U.S.C. § 285; and
- J. Such other relief as the Court deems just and equitable.

Dated: June 8, 2007

Respectfully submitted,

*/s/Robert J. Hanna*

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*Attorneys for Plaintiff*  
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**DEMAND FOR JURY TRIAL**

Pursuant to Federal Rule of Civil Procedure 38, Plaintiff Ronald A. Katz Technology Licensing, L.P. hereby demands trial by jury.

Dated: June 8, 2007

Respectfully submitted,

*/s/Robert J. Hanna*

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ATTEST  
FOR THE JUDICIAL PANEL ON  
MULTIDISTRICT LITIGATION

JUL - 6 2007

Document 14

Filed 08/15/2007 Page 1 of 2

FILED  
CLERK, U.S. DISTRICT COURT

JUDICIAL PANEL ON  
MULTIDISTRICT LITIGATION

JUL 3 2007

CENTRAL DISTRICT OF CALIFORNIA  
CLERK'S OFFICE  
DEPUTY

JUN 20 2007

FILED  
CLERK'S OFFICE.

DOCKET NO. 1816

**BEFORE THE JUDICIAL PANEL ON MULTIDISTRICT LITIGATION**

**IN RE KATZ INTERACTIVE CALL PROCESSING PATENT LITIGATION**

(SEE ATTACHED SCHEDULE)

CV 07-1961-RGK(FFMx)

**CONDITIONAL TRANSFER ORDER (CTO-2)**

On March 20, 2007, the Panel transferred 25 civil actions to the United States District Court for the Central District of California for coordinated or consolidated pretrial proceedings pursuant to 28 U.S.C. § 1407. See F.Supp.2d (J.P.M.L. 2007). Since that time, one additional action has been transferred to the Central District of California. With the consent of that court, all such actions have been assigned to the Honorable Robert Gary Klausner.

It appears that the actions on this conditional transfer order involve questions of fact that are common to the actions previously transferred to the Central District of California and assigned to Judge Klausner.

Pursuant to Rule 7.4 of the Rules of Procedure of the Judicial Panel on Multidistrict Litigation, 199 F.R.D. 425, 435-36 (2001), these actions are transferred under 28 U.S.C. § 1407 to the Central District of California for the reasons stated in the order of March 20, 2007, and, with the consent of that court, assigned to the Honorable Robert Gary Klausner.

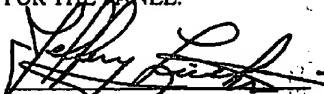
This order does not become effective until it is filed in the Office of the Clerk of the United States District Court for the Central District of California. The transmittal of this order to said Clerk shall be stayed 15 days from the entry thereof. If any party files a notice of opposition with the Clerk of the Panel within this 15-day period, the stay will be continued until further order of the Panel.

Inasmuch as no objection is  
pending at this time, the  
stay is lifted.

JUL - 6 2007

CLERK'S OFFICE  
JUDICIAL PANEL ON  
MULTIDISTRICT LITIGATION

FOR THE PANEL:

  
Jeffery N. Lüthi  
Clerk of the Panel

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MULTIDISTRICT LITIGATION

## IN RE KATZ INTERACTIVE CALL PROCESSING PATENT LITIGATION

<u>DIST. DIV. C.A. #</u>	<u>CASE CAPTION</u>
CALIFORNIA NORTHERN CAN 3 07-3004	Ronald A. Katz Technology Licensing, L.P. v. Bank of the West, et al. <i>CV 07-4923(RGK)(FFM)</i>
DELAWARE DE 1 07-361	Ronald A. Katz Technology Licensing, L.P. v. Comcast Corp., et al. Opposed 7/6/07 ✓
DE 1 07-368	Ronald A. Katz Technology Licensing, L.P. v. Citizens Communications Co., et al. <i>CV 07-5047-RGK(FFM)</i>
GEORGIA NORTHERN GAN 1 07-1325	Ronald A. Katz Technology Licensing, L.P. v. The Southern Co., et al. <i>CV 07-4924(RGK(FFM))</i>
HAWAII HI 1 07-314	Ronald A. Katz Technology Licensing, L.P. v. First Hawaiian Bank <i>CV 07-4927-RGK(FFM)</i>
ILLINOIS NORTHERN ILN 1 07-3235	Ronald A. Katz Technology Licensing, L.P. v. Exelon Corp., et al. <i>CV 07-4932-RGK(FFM)</i>
INDIANA SOUTHERN INS 1 07-716	Ronald A. Katz Technology Licensing, L.P. v. Old National Bancorp., et al. <i>CV 07-4933-RGK(FFM)</i>
MICHIGAN EASTERN MIE 2 07-12459	Ronald A. Katz Technology Licensing, L.P. v. CMS Energy Corp., et al. <i>CV 07-4954-RGK(FFM)</i>
MISSOURI EASTERN MOE 4 07-1083	Ronald A. Katz Technology Licensing, L.P. v. Ameren Corp., et al. <i>CV 07-4955(RGK)(FFM)</i>
NORTH CAROLINA EASTERN NCE 5 07-202	Ronald A. Katz Technology Licensing, L.P. v. Progress Energy, Inc., et al. <i>CV 07-4956-RGK(FFM)</i>
NEW JERSEY NJ 2 07-2650	Ronald A. Katz Technology Licensing, L.P. v. Public Service Enterprise Group, Inc., et al. Opposed 7/6/07
NEVADA NV 2 07-737	Ronald A. Katz Technology Licensing, L.P. v. Sierra Pacific Resources, et al. <i>CV 07-4957-RGK(FFM)</i>
NEW YORK SOUTHERN NYS 1 07-5392	Ronald A. Katz Technology Licensing, L.P. v. Consolidated Edison Co. of New York, Inc., et al. <i>CV 07-4958</i>
NYS 1 07-5442	Ronald A. Katz Technology Licensing, L.P. v. New York Life Insurance Co., et al. <i>CV 07-4959-RGK(FFM)</i>
OHIO NORTHERN OHN 1 07-1706	Ronald A. Katz Technology Licensing, L.P. v. Cincinnati Bell, Inc., et al. <i>CV 07-4961-RGK(FFM)</i>
OHIO SOUTHERN OHS 1 07-451	Ronald A. Katz Technology Licensing, L.P. v. Fifth Third Bancorp, et al. <i>CV 07-4960-RGK(FFM)</i>
OKLAHOMA WESTERN OKW 5 07-650	Ronald A. Katz Technology Licensing, L.P. v. OGE Energy Corp., et al. <i>CV 07-4962-RGK(FFM)</i>
PENNSYLVANIA EASTERN PAE 2 07-2313	Ronald A. Katz Technology Licensing, L.P. v. PPL Corp., et al. <i>CV 07-4963-RGK(FFM)</i>
RHODE ISLAND RI 1 07-210	Ronald A. Katz Technology Licensing, L.P. v. Citizens Financial Group, Inc., et al. <i>CV 07-4964-RGK(FFM)</i>
TEXAS EASTERN TXE 2 07-232	Ronald A. Katz Technology Licensing, L.P. v. Continental Airlines, Inc., et al. <i>07-4965-RGK(FFM)</i>
TXE 2 07-233	Ronald A. Katz Technology Licensing, L.P. v. TXU Corp., et al. <i>07-4966-RGK(FFM)</i>
TXE 2 07-237	Ronald A. Katz Technology Licensing, L.P. v. BMG Columbia House, Inc., et al. <i>07-4967-RGK(FFM)</i>
TXE 6 07-260	Ronald A. Katz Technology Licensing, L.P. v. Colonial Bank N.A., et al. <i>07-4968-RGK(FFM)</i>

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***ATTORNEY TO BE NOTICED*****Phillip L. Kenner**

(See above for address)

***ATTORNEY TO BE NOTICED*****Defendant****FirstMerit Mortgage Corp.**represented by **Ray L. Weber**

(See above for address)

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(See above for address)

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***ATTORNEY TO BE NOTICED*****Counter-Claimant****First Merit Bank, N.A.**represented by **Ray L. Weber**

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represented by **Laura J. Gentilcore**  
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**ATTORNEY TO BE NOTICED**

**Phillip L. Kenner**  
(See above for address)  
**ATTORNEY TO BE NOTICED**

**Ray L. Weber**  
(See above for address)  
**ATTORNEY TO BE NOTICED**

V.

**Counter-Defendant**

**Ronald A. Katz Technology  
Licensing, L.P.**

represented by **Robert J. Hanna**  
(See above for address)  
**LEAD ATTORNEY**  
**ATTORNEY TO BE NOTICED**

**Michael C. Brink**  
(See above for address)  
**ATTORNEY TO BE NOTICED**

Date Filed	#	Docket Text
06/08/2007	①	Complaint with jury demand against all defendants ( Filing fee 350 receipt number 2500848.). Filed by Ronald A. Katz. (Attachments: # 1 Civil Cover Sheet # 2 Summons Cincinnati Bell, Inc.# 3 Summons

		Cincinnati Bell Wireless LLC# <u>4</u> Summons FirstMerit Mortgage Corp.# <u>5</u> Summons FirstMerit Bank, N.A.# <u>6</u> Summons FirstMerit Corp.)(Brink, Michael) (Entered: 06/08/2007)
06/08/2007	<u>❷</u>	Corporate Disclosure Statement with jury demand filed by Ronald A. Katz. (Brink, Michael) Modified text on 6/14/2007 (B, B). (Entered: 06/08/2007)
06/08/2007	<u>❸</u>	Judge Solomon Oliver, Jr assigned to case. (C,BA) (Entered: 06/08/2007)
06/08/2007	<u>❹</u>	Random Assignment of Magistrate Judge pursuant to Local Rule 3.1. In the event of a referral, case will be assigned to Magistrate Judge Perelman. (C,BA) (Entered: 06/08/2007)
06/08/2007	<u>❺</u>	Summons and Magistrate Consent Form issued to counsel for service upon Cincinnati Bell, Inc., Cincinnati Bell Wireless LLC, FirstMerit Corp., FirstMerit Bank, N.A. & FirstMerit Mortgage Corp. (Attachments: # <u>1</u> Magistrate Consent Form) (C,BA) (Entered: 06/08/2007)
06/11/2007	<u>❻</u>	Summons reissued to counsel for service upon Cincinnati Bell, Inc., Cincinnati Bell Wireless LLC, FirstMerit Corp., FirstMerit Bank, N.A. & FirstMerit Mortgage Corp. (Attachments: # <u>1</u> Summons for Cincinnati Bell Wireless# <u>2</u> First Merit Corp.# <u>3</u> Summons for FirstMerit Bank# <u>4</u> Summons for FirstMerit Mortgage) (C,BA) (Entered: 06/11/2007)
06/11/2007	<u>❽</u>	Service by Clerk by certified mail. Summons and Complaint addressed to Cincinnati Bell, Inc., Cincinnati Bell Wireless LLC, FirstMerit Corp., FirstMerit Bank, N.A. & FirstMerit Mortgage Corp. placed in U.S. Mail. (C,BA) (Entered: 06/11/2007)
06/18/2007	<u>❾</u>	Return of Service Executed upon Cincinnati Bell, Inc. and Cincinnati Bell Wireless, LLC by certified mail on 6/14/2007. Related document(s) <u>1</u> . (B,B) (Entered: 06/18/2007)
06/21/2007	<u>❿</u>	Return of Service Executed upon FirstMerit Bank, N.A., FirstMerit Corporation, FirstMerit Mortgage Corporation by certified mail on 6/15/2007. Related document(s) <u>1</u> . (B,B) (Entered: 06/21/2007)
06/21/2007	<u>⓫</u>	Notice of <i>Related Actions</i> filed by Ronald A. Katz Technology Licensing, L.P.. (Attachments: # <u>1</u> Exhibit A-Actions Pending in Multidistrict Litigation# <u>2</u> Exhibit B-Newly-Filed Katz Actions)(Hanna, Robert) (Entered: 06/21/2007)
06/28/2007	<u>⓬</u>	Proposed Stipulation and Order <i>Extending Time to Answer Complaint until 8/3/2007</i> filed by Cincinnati Bell, Inc., Cincinnati Bell Wireless LLC. Related document(s) <u>1</u> .(Speed, F.) Modified text on 7/3/2007 (B, B). (Entered: 06/28/2007)
06/29/2007	<u>⓭</u>	Order (non-document) granting (re <u>⓬</u> ) Joint Stipulation for Defendants Cincinnati Bell, Inc., and Cincinnati Bell Wireless LLC. to answer, until 8/3/2007. Requests for extension should be by motion. Approved by Judge Solomon Oliver Jr. on 6/29/2007.(D,M) Modified on 6/29/2007 (D, M). (Entered: 06/29/2007)

07/03/2007	<u>⑨</u>	<b>Answer</b> with jury demand , <i>Affirmative Defenses, Counterclaim</i> against Ronald A. Katz Technology Licensing, L.P. filed by FirstMerit Corp., First Merit Bank, N.A. and Firsst Merit Mortgage Corp. Related document(s)1. (Weber, Ray) Modified text on 7/6/2007 (B, B). (Entered: 07/03/2007)
07/23/2007	<u>⑩</u>	Plaintiff Ronald A. Katz Technology Licensing, L.P.'s Reply to Counterclaims of Defendants FirstMerit Corp., FirstMerit Bank, N.A., and FirstMerit Mortgage Corp. filed by Ronald A. Katz Technology Licensing, L.P.. (Hanna, Robert) (Entered: 07/23/2007)
08/02/2007	<u>⑪</u>	<b>Motion</b> to dismiss case <i>For Improper Venue</i> filed by Cincinnati Bell, Inc., Cincinnati Bell Wireless LLC. (Attachments: # 1 Declaration of Christopher Wilson# 2 Declaration of Eric Schimpf)(Speed, F.) (Entered: 08/02/2007)
08/02/2007	<u>⑫</u>	Corporate Disclosure Statement filed by Cincinnati Bell Wireless LLC. (Speed, F.) (Entered: 08/02/2007)
08/02/2007	<u>⑬</u>	Corporate Disclosure Statement filed by Cincinnati Bell, Inc.. (Speed, F.) (Entered: 08/02/2007)
08/15/2007	<u>⑭</u>	<b>Conditional Transfer Order (CTO-2)</b> by Judicial Panel on Multidistrict Litigation. In re MDL 1816 Katz Interactive Call Processing Patent Litigation. Case is transferred to the Central District of California and assigned to the Honorable Robert Gary Klausner. (CDCA Case No. CV07-4961-RGK(FFMx).(S,R) (Entered: 08/15/2007)

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF OHIO  
EASTERN DIVISION

RONALD A. KATZ TECHNOLOGY  
LICENSING, L.P.,

PLAINTIFF,

v.

CINCINNATI BELL, INC.,  
CINCINNATI BELL WIRELESS LLC,  
FIRSTMERIT CORP.,  
FIRSTMERIT BANK, N.A., and  
FIRSTMERIT MORTGAGE CORP.

DEFENDANTS.

)  
) CASE NO. \_\_\_\_\_  
)  
)  
) JUDGE  
)  
) COMPLAINT FOR PATENT  
) INFRINGEMENT  
) (DEMAND FOR JURY TRIAL)  
)  
)  
)

Plaintiff, Ronald A. Katz Technology Licensing, L.P. ("Katz Technology Licensing"), by counsel, alleges as follows:

THE PARTIES

1. Plaintiff Katz Technology Licensing is a limited partnership organized under the laws of the State of California, and having a principal place of business at 9220 Sunset Blvd. #315, Los Angeles, CA 90069.

2. On information and belief, Defendant Cincinnati Bell, Inc. is an Ohio entity maintaining its principal place of business at 221 East Fourth Street, Cincinnati, OH 45202.

3. On information and belief, Defendant Cincinnati Bell Wireless LLC is an Ohio entity maintaining its principal place of business at 221 East Fourth Street, Cincinnati, OH 45202.

4. On information and belief, Defendant FirstMerit Corp. is an Ohio entity maintaining its principal place of business at III Cascade Plaza, 7<sup>th</sup> Floor, Akron, OH 44308.

5. On information and belief, Defendant FirstMerit Bank, N.A. is an Ohio entity maintaining its principal place of business at 106 South Main Street, Akron, OH 44308.

6. On information and belief, Defendant FirstMerit Mortgage Corp. is an Ohio entity maintaining its principal place of business in Canton, Ohio.

**JURISDICTION AND VENUE**

7. This is a civil action for patent infringement arising under the United States patent statutes, 35 U.S.C. § 1 *et seq.*

8. This Court has jurisdiction over the subject matter of this action under 28 U.S.C. §§ 1331 and 1338(a).

9. Upon information and belief, Defendants Cincinnati Bell, Inc. and Cincinnati Bell Wireless LLC (collectively, the “Cincinnati Bell defendants”) are subject to this Court’s personal jurisdiction because they do and have done substantial business in this judicial district, including: (i) operating infringing automated telephone call processing systems, including without limitation customer service systems, voicemail systems, and teleconferencing systems, that allow their customers, including customers within this State and in this District, to perform various functions and obtain various services over the telephone, including without limitation completing account payments, obtaining account information, using voicemail services, and participating in teleconference calls; and/or (ii) regularly doing or soliciting business, engaging in other persistent courses of conduct, and/or deriving substantial revenue from services provided to individuals in this State and in this District.

10. Upon information and belief, Defendants FirstMerit Corp., FirstMerit Bank, N.A., and FirstMerit Mortgage Corp. (collectively, “the FirstMerit defendants”) are subject to this Court’s personal jurisdiction because they do and have done substantial business in this judicial

district, including: (i) operating infringing automated telephone call processing systems, including without limitation customer service systems that allow their customers, including customers within this State and in this District, to perform various functions and obtain various services over the telephone, including without limitation obtaining account information, activating account cards, and changing PINs; and/or (ii) regularly doing or soliciting business, engaging in other persistent courses of conduct, and/or deriving substantial revenue from services provided to individuals in this State and in this District.

11. Venue is proper in this judicial district under 28 U.S.C. §§ 1331(b)-(c) and 1400(b).

#### **BACKGROUND FACTS**

12. Ronald A. Katz ("Mr. Katz"), founder of Katz Technology Licensing, is a named inventor of each of the patents in suit. Mr. Katz has been widely recognized as one of the most prolific and successful inventors of our time, and his inventions over the last forty-plus years have been utilized by literally millions of people.

13. In 1961, Mr. Katz co-founded Telecredit Inc. ("Telecredit"), the first company to provide online, real-time credit authorization, allowing merchants to verify checks over the telephone. Further innovations from Telecredit include the first online, real-time, point-of-sale credit verification terminal, which enabled merchants to verify checks without requiring the assistance of a live operator, and the first device that used and updated magnetically-encoded cards in automated teller machines. Multiple patents issued from these innovations, including patents co-invented by Mr. Katz.

14. Telecredit was eventually acquired by Equifax, and has now been spun off as Certegy, a public company traded on the New York Stock Exchange. Certegy continues to